



Info



PTO/SB/21 (08-03)
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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

| | | |
|---|------------------------|----------------|
| TRANSMITTAL FORM (to be used for all correspondence after initial filing) | Application Number | 10/807,062 |
| | Filing Date | March 23, 2004 |
| | First Named Inventor | Dirk FABRITIUS |
| | Group Art Unit | 1621 |
| | Examiner Name | |
| Total Number of Pages in This Submission | Attorney Docket Number | 03/027NUT |

ENCLOSURES (check all that apply)

| | | |
|--|---|--|
| <input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) Extension of Time Request Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ Remarks: | <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communications to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other! Enclosure(s) (please identify below): <div>Postcard Receipt</div> |
|--|---|--|

SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

| | | | |
|-------------------------|---|--|--|
| Firm or Individual Name | ProPat, L.L.C. 425-C South Sharon Amity Road Charlotte, NC 28211-2841 | | |
| Signature | <i>Cathy Moore</i> | | |
| Date | June 9, 2004 | | |

CERTIFICATE OF TRANSMISSION/MAILING

| | | | |
|---|----------------------|------|--------------|
| I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. | | | |
| Typed or printed name | Claire Wygand | | |
| Signature | <i>Claire Wygand</i> | Date | June 9, 2004 |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK
OFFICE PATENT OPERATIONS

Art Unit: 1621
Applicants: Dirk FABRITIUS *et al.*
Application No. 10/807,062
Filed: 03/23/2004
Title: **"Method for Purifying Compounds Containing Functional Groups"**

Commissioner for Patents
Alexander, VA 22313-1450

Charlotte, North Carolina
June 9, 2004

Dear Sir:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 CFR §§ 1.56 and 1.97 *et seq.*, Applicant hereby submits the attached Forms PTO/SB/08A and PTO/SB/08B and the references listed thereon. The relevancy of each reference is disclosed in the specification filed March 23, 2004 and given Application No. 10/807,062.

This submission does not represent an admission that each or all of the listed documents are material or constitute "prior art." Applicant respectfully requests that each reference be reviewed and made of record in the instant application.

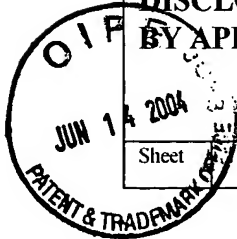
If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 50-2193.

Respectfully submitted,

Cathy Moor

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Telephone (704) 365-4881

Attorney's Docket No. 03/027NUT



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|--|----|---|------------------------|----------------|
| PTO/SB/08B | | | Complete if Known | |
| Substitute for Form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT | | | Application Number | 10/807,062 |
| | | | Filing Date | 03/23/2004 |
| | | | First Named Inventor | Dirk FABRITIUS |
| | | | Group Art Unit | 1621 |
| | | | Examiner Name | |
| Sheet | Of | 1 | Attorney Docket Number | 03/027 NUT |

| OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS | | | | |
|--|----------|---|-----------------|--|
| Examiner Initials | Cite No. | Include name of the author (in CAPITAL LETTERS), Title of the Article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, page(s), volume-issue number(s), publisher, city and/or country where published | T6 | |
| | | S. NIETO <i>et al.</i> : “Obtention of highly purified fractions of eicosapentaenoic acid and docosahexaenoic acid from sardine oil by silver-resin chromatography: A semi-preparative procedure” Grasasy Aceites, Vol. 48, (1997) pages 197 - 199 | X | |
| | | | | |
| | | | | |
| | | | | |
| EXAMINER | | | DATE CONSIDERED | |
| EXAMINER: Initial citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | | | | |

IDS in spec 6/04

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|---|---|----|---|------------------------|----------------|
| PTO/SB/08A | | | | Complete if Known | |
| Substitute for Form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT | | | | Application Number | 10/807,062 |
| | | | | Filing Date | 03/23/2004 |
| | | | | First Named Inventor | Dirk FABRITIUS |
| | | | | Group Art Unit | 1621 |
| | | | | Examiner Name | |
| Sheet | 1 | Of | 1 | Attorney Docket Number | 03/027 NUT |

| U.S. PATENT DOCUMENTS | | | | | | | |
|-----------------------|----------|---------------------|-----------|---|--|------------|-----------|
| Examiner Initials' | Cite No. | U. S. Patent Number | Kind Code | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY | U.S. Class | Sub Class |
| | | | | | | | |
| | | 5,959,128 | | Kolstad et al. | 09/28/1999 | 554 | 206 |
| | | 6,025,384 | | Cai et al. | 02/15/2000 | 514 | 444 |

| FOREIGN PATENT DOCUMENTS | | | | | | | | | |
|--------------------------|----------|-------------------------|---------------------------|-----------|---|--|------------|----------|----|
| Examiner Initials' | Cite No. | Foreign Patent Document | | | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY | Int. Class | Subclass | T6 |
| | | Office | Number | Kind Code | | | | | |
| | | CS | 261464 (App. No. 86-4520) | | Zpusob | 1/2/1990 | C11C | 3/06 | |
| | | KR | 127510 (KR94-6988) | | Ilshin Oil & Chemical Co. | 12/29/1997 | C12P | 7/20 | |
| | | JP | 61166399 (Abstract) | | Ajinomoto Co. Inc. | 07/28/1986 | C12P | 7/64 | X |
| | | JP | 09-268299 (Abstract) | | Sharp Corp. | 03/31/1998 | G03G | 15/16 | X |
| | | JP | 2001-354558 (Abstract) | | Kao Corp. | 12/25/2001 | A61K | 31/33 | X |
| | | WO | 02/098826 | A2 | Nutrinova Nutrition Specialties & Food Ingredients GmbH | 12/12/2002 | C07B | 63/00 | |
| Examiner Signature | | | | | Date Considered | | | | |

IDS in spec (6.9.04)